



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al. Serial No. :

10/789,247

Art Unit : 1616 Examiner

: Unknown

Filed

February 27, 2004

Title

COMPOSITIONS AND METHODS FOR CYTOMEGALOVIRUS TREATMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and non-patent literature are enclosed. Also enclosed is a copy of an International Search Report dated January 7, 2005, which was received in a related PCT application, PCT/US04/05923. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits. No fees are believed to be due. Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket no. 07917-190001.

Respectfully submitted,

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 1 1 2005 Substitute Form

Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Applic 07917-190001 10/7

Application No. 10/789,247

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant Lu et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date February 27, 2004

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	5,552,143	Sept. 3, 1996	Plotkin et al.			
	A2	5,591,439	Jan. 7, 1997	Plotkin et al.			
	A3	5,720,957	Feb. 24, 1998	Jones et al.			
	A4	5,728,578	Mar. 17, 1998	Jahn et al.			
	A5	5,800,981	Sept. 1, 1998	Bruggeman et al.			
	A6	5,846,733	Dec. 8, 1998	Jahn et al.			-
	A7	6,448,389	Sep. 10, 2002	Gonczol et al.			
	A8						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translati	on
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	B1	EP 0 389 286 B1	26/09/1990	Europe				
	B2	EP 0 252 531 B	02/12/1992	Europe			X (abstract)	
	B3	WO 97/40165	30/10/1997	WIPO	-			
	B4	EP 0 236 145	09/09/1987	Europe				
	B5	WO 01/72782	04/10/2001	WIPO				
	В6	WO 02/34769	02/05/2002	WIPO				
	В7							

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.				
Initial	ID	Document			
	C1	Adler et al., "A canarypox vector expressing cytomegalovirus (CMV) glycoprotein B primes for antibody responses to a live attenuated CMV vaccine (Towne)," J. Infect. Dis. 180(3):843-6 (1999)			
	C2	Berencsi et al., "A canarypox vector-expressing cytomegalovirus (CMV) phosphoprotein 65 induces long-lasting cytotoxic T cell responses in human CMV-seronegative subjects," J. Infect. Dis. 183(8):1171-9 (2001)			
	C3	Britt and Alford, "Cytomegalovirus," Fields Virology, 3d Ed., Chapter 77:2493-2523 (1996)			
	C4	Cull et al., "Coimmunisation with type I IFN genes enhances protective immunity against cytomegalovirus and myocarditis in gB DNA-vaccinated mice," Gene Ther. 9(20):1369-78 (2002)			

Examiner Signature	Date Considered
3	
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not associated limited account of this form with
	in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 (Modified)			Application No. 10/789,247	
	losure Statement plicant	Applicant Lu et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date February 27, 2004	Group Art Unit 1616	

(Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	<u>Document</u>
	C5	Endresz et al., "Induction of human cytomegalovirus (HCMV)-glycoprotein B (gB)-specific neutralizing antibody and phosphoprotein 65 (pp65)-specific cytotoxic T lymphocyte responses by naked DNA immunization," Vaccine 17:50-58 (1999)
	C6	Endresz et al., "Optimization of DNA immunization against human cytomegalovirus," Vaccine 19:3972-80 (2001)
	C7	La Rosa et al., "Preclinical development of an adjuvant-free peptide vaccine with activity against CMV pp65 in HLA transgenic mice," Blood 100(10):3681-3689 (2002)
	C8	Lu et al., "Antigen Engineering in DNA Immunization," Methods in Molecular Medicine 29:355-74 (2000)
	C9	Mach et al., "Complex formation by human cytomegalovirus glycoproteins M (gpUL100) and N (gpUL73)," J. Virol. 74(24):11881-92 (2000)
	C10	Morello et al., "Development of a vaccine against murine cytomegalovirus (MCMV), consisting of plasmid DNA and formalin-inactivated MCMV, that provides long-term, complete protection against viral replication," J. Virol. 76(10):4822-35 (2002)
	C11	Temperton, "DNA vaccines against cytomegalovirus: current progress," Intl. J. Antimicrobial Agents 19:169-72 (2002)
	C12	Oh et al., "Nasal absorption and biodistribution of plasmid DNA: an alternative route of DNA vaccine delivery," Vaccine 19(31):4519-25 (2001)
	C13	S.A. Plotkin, "Vaccination against cytomegalovirus," Arch. Virol. 17:121-34 (2001)
	C14	Scholl et al., "Prokaryotic expression of immunogenic polypeptides of the large phosphoprotein (pp150) of human cytomegalovirus," J. Gen. Virol. 69 (Pt 6):1195-204 (1988)
	C15	Spaete et al., "Coexpression of Truncated Human Cytomegalovirus gH with the UL115 Gene Product or the Truncated Human Fibroblast Growth Factor Receptor Results in Transport of gH to the Cell Surface," Virology 193(2):853-61 (1993)
	C16	Walker et al., "Characterization of Human Cytomegalovirus Strains by Analysis of Short Tandem Repeat Polymorphisms," J. Clin. Microbio. 39:2219-26 (2001)
	C17	

Examiner Signature	Date Considered